PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number	10566104				
	Filing Date	2006-01-26				
	First Named Inventor Kazufumi					
	Art Unit	<del>2624</del> 3661				
	Examiner Name					
	Attorney Docket Number	39565				

U.S.PATENTS										
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	)ate	Name of Pate of cited Docu	entee or Applicant ment	Relev	s,Columns,Lines where vant Passages or Relev es Appear	
	1									
If you wish	h to ac	dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.			
			U.S.P	ATENT	APPLIC	CATION PUBL	ICATIONS			
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publica Date	ition	Name of Pate of cited Docu	entee or Applicant ment	Relev	s,Columns,Lines where ant Passages or Relev es Appear	
	1									
If you wisl	h to ac	dd additional U.S. Publi	shed Ap	plication	citatio	n information p	lease click the Add	butto	n.	
				FOREIG	SN PAT	ENT DOCUM	ENTS	,		
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>		Kind Code <sup>4</sup>	Publication Date	Name of Patented Applicant of cited Document	e or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
/S.K./	1	2610146	JP			1997-02-13				
/S.K./	2	2001-187552	JP			2001-10-07	Suzuki			
/S.K./	3	2003-061085	JP			2003-02-28	Tagami			

EFS-Web Receipt date: 05/08/2007

10566104 - GAU: 3661

INFORMATION D	ISCLOSURE
STATEMENT BY	APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10566104			
Filing Date	*	2006-01-26			
First Named Inventor	Kazut	fumi			
Art Unit		2021	3661		
Examiner Name		1			
Attorney Docket Number		39565			

If you wis	h to a	dd addition	al Foreign Patent Document citation info	ormation please click the Add button	า		
			NON-PATENT LITERA				
Examiner Initials*	Examiner   Cite   Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						
	1						
If you wis	h to a	dd addition:	al non-patent literature document citatio	n information please click the Add t	outton	L	
			EXAMINER S	IGNATURE			
Examiner	Signa	iture	/Sze-Hon Kong/	Date Considered	05/09/2008		
*EXAMIN	ER: In not in	itial if refer	ence considered, whether or not citation ce and not considered. Include copy of	is in conformance with MPEP 609 this form with next communication	Draw line through a to applicant.		
4 Kind of doc	cument	o Japanese	ent Documents at <u>www.USPTO.GOV</u> or MPEP 90 patent documents, the indication of the year of the riate symbols as indicated on the document unde tached.	Preign of the Emperor must precede the co-	ial purchas of the mateut de-		

EFS-Web Receipt date: 05/08/2007

10566104 - GAU: 3661

INFORMATIO	N D	ISCL	OSURE
STATEMENT	BY	APPI	LICANT

( Not for submission under 37 CFR 1.99)

Application Number		105661	10566104				
Filing Date		2006-01-26					
First Named Inventor	Kazu	fumi					
Art Unit	•	2024	3661				
Examiner Name							
Attorney Docket Number		39565					

	CERTIFICATION STATEMENT								
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR	1								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached cer	rtification statement.							
	Fee set forth in 3	37 CFR 1.17 (p) has	been submitted he	erewith.					
×	None								
Λ	SIGNATURE								
A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Sign	nature	/jeffrey j. sopre	n Woten the	Date (YYYY-MM-DD)	2007-05-08				
Nam	ne/Print	Jeffrey J. Sopolio	1110	Registration Number	27676				

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

EFS-Web Receipt date: 05/08/2007

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
  Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.